

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jones et al.

Serial No.: **10/574,303**  
Filed: November 2, 2006  
For: **DEWATERING TREATMENT SYSTEM  
AND METHOD**

Group Art Unit: 1795  
Confirmation No.: **2243**  
Examiner: Arun S. Phasge

**REQUEST FOR REIMBURSEMENT**

Mail Stop: 16 (Refunds)  
Director of the US Patent and Trademark Office  
PO Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On October 7, 2010, Applicants' attorney electronically filed an Electronic Transmittal Letter, Supplemental Information Disclosure Statement ("SIDS") and PTO Form 1449 with the U.S. Patent and Trademark Office (copies attached). A copy of the Acknowledgement Receipt is also included.

Upon reviewing Applicants' attorney's October 2010 Deposit Account Statement, it was noted that on October 12, 2010, there was a charge for \$180.00 for submission of an Information Disclosure Statement (see enclosed copy of Deposit Account Statement).

Applicants' SIDS stated that it was submitted under the provisions of 37 C.F.R. § 1.97(c), before the mailing date of any of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application and under the provisions of 37 C.F.R. § 1.97(e)(1), and that each item of information contained in the SIDS was first cited in a counterpart foreign application not more than three months prior to the filing of this SIDS. Accordingly there should not have been a

charge to Applicants for submission of the October 7, 2010 SIDS. Applicants' attorney requests that Deposit Account 500549 be credited for the fee of \$180.00.

Thank you for your attention to this matter. Please do not hesitate to contact the undersigned if you have any questions.

Respectfully submitted on behalf of  
Jones et al.,

A handwritten signature in black ink, appearing to read "D. R. Cleveland", written over a horizontal line.

Electronically filed:  
October 25, 2010

IPLM Group, P.A.  
P.O. Box 18455  
Minneapolis, MN 55418

David R. Cleveland  
Registration No: 29,524  
612-331-7412 (telephone)  
612-331-7401 (facsimile)  
Customer No. 23322

**Acknowledgement Receipt**

The USPTO has received your submission at **15:06:36** Eastern Time on **07-OCT-2010**.

No fees have been paid for this submission. Please remember to pay any required fees on time to prevent abandonment of your application.

**eFiled Application Information**

EFS ID	8580766
Application Number	10574303
Confirmation Number	2243
Title	Dewatering treatment system and method
First Named Inventor	Colin John Jones
Customer Number or Correspondence Address	23322
Filed By	David Ross Cleveland/Lynelle Grube
Attorney Docket Number	278-P-001USWO
Filing Date	02-NOV-2006
Receipt Date	07-OCT-2010
Application Type	U.S. National Stage under 35 USC 371

**Application Details**

Submitted Files	Page Count	Document Description	File Size	Warnings
Transmittal_asfiledOct072010.pdf	1	Miscellaneous Incoming Letter	64099 bytes	◆ PASS
Supplemental_IDS_asfiledOct072010.pdf	2	Transmittal Letter	92777 bytes	◆ PASS
1449_asfiledOctober072010.pdf	1	Information Disclosure Statement (IDS) Filed (SB/08)	65923 bytes	▲ WARNINGS
This is not an USPTO supplied IDS fillable form				
JP59036507.pdf	8	Foreign Reference	3638669 bytes	◆ PASS
JP62254817.pdf	9	Foreign Reference	3833205 bytes	◆ PASS
JP01127012.pdf	5	Foreign Reference	2478533 bytes	◆ PASS

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

✓

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

*If you need help:*

- *Call the Patent Electronic Business Center at (866) 217-9197 (toll free) or e-mail [EBC@uspto.gov](mailto:EBC@uspto.gov) for specific questions about Patent e-Filing.*
- *Send general questions about USPTO programs to the USPTO Contact Center (UCC).*
- *If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic.Business.Support](mailto:Electronic.Business.Support) or call 1 800-786-9199.*

IPLM Group, P.A.  
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PATENT

ELECTRONIC TRANSMITTAL LETTER

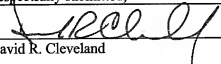
Attorney Docket No.	Serial No.
278P001USWO	10/574,303

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In re Application of:	Jones et al.		
Serial No.:	10/574,303	Examiner:	Arun S. Phasge
Confirmation No.:	2243	Art Unit:	1795
Filed:	November 2, 2006		
For:	DEWATERING TREATMENT SYSTEM AND METHOD		
We are transmitting the following documents: Transmittal Letter Supplemental Information Disclosure Statement Form 1449 with 3 Foreign Patent Documents listed thereon included			

Please charge Deposit Account 50-0549 for any fees under 37 CFR §1.16 and §1.17 that may be required during the pendency of this application. This authorization includes the fee for any extension of time under 37 CFR §1.136(a) that may be necessary. To the extent any such extension should become necessary it is hereby requested.

Respectfully submitted,

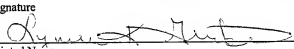
Registration No. 29,524	Direct Dial 612-331-7412	
Date: October 7, 2010		David R. Cleveland

United States Patent and Trademark Office

Customer No. 23322

**Certificate of Electronic Transmission**

Pursuant to 37 CFR 1.8, I certify that this correspondence is being electronically transmitted to: MS: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below indicated date.

	Signature 
Date of Transmission October 7, 2010	Printed Name Lynelle K. Grube

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Colin John Francis Phillip Jones et al.		
Serial No.:	10/574,303	Examiner:	Arun S. Phasge
Confirmation No.:	2243		
Filed:	November 2, 2006	Tech Center :	1795
For:	DEWATERING TREATMENT SYSTEM AND METHOD		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to C.F.R. §§1.97 and 1.98, enclosed please find a completed PTO Form 1449 citing references submitted for consideration during examination of the above-referenced patent application. Consideration of each of the documents listed on the attached PTO Form 1449 is respectfully requested. Copies of the cited Japanese Patents are enclosed. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the PTO Form 1449, marked as being considered and initialed by the Examiner, be returned with the next official communication.

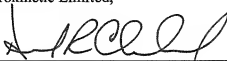
This Supplemental Information Disclosure Statement is being submitted under the provisions of 37 C.F.R. § 1.97(c) because it is being submitted before the mailing date of any of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the application. Under the provisions of 37 C.F.R. § 1.97(e)(1), each item of information contained in this statement was first cited in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement.

No fee is believed to be due in connection with citation of these references. However, in the event a fee is due, please charge Deposit Account No. 50-0549 the fee set forth in § 1.17(p).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the document(s) are not "prior art." Moreover, Applicants do not represent that a document has been thoroughly reviewed or that any relevance of any portion of a document is intended.

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone numbers, if they can be of any assistance during prosecution of the present application.

Respectfully submitted on behalf of  
Electrokinetic Limited,



Date: October 7, 2010

David R. Cleveland  
Registration No: 29,524  
612-331-7412 (telephone)  
612-331-7401 (facsimile)  
USPTO Customer No. 23322

IPLM Group, P.A.  
P.O. Box 18455  
Minneapolis, MN 55418

Substitute for Form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	<b>Complete if Known</b>	
	Application Number	10/574,303
	Filing Date	November 2, 2006
	First Named Inventor	Jones et al.
	Art Unit	1795
	Examiner Name	Arun S. Phasge
	Attorney Docket No.	278P001USWO

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T
		Country Code/Number/Kind Code				
		JP 59-036507	02/28/1984	Oyama Kogyo Kouton Senmon Gatsukouchiyou	English Abstract included	
		JP 62-254817	11/06/1987	Fuji Electric Co. Ltd.	English Abstract included	
		JP 01-127012	05/19/1989	Fuji Electric Co. Ltd.	English Abstract included	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T

EXAMINER	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	





**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

<b>Requested Statement Month:</b>	October 2010
<b>Deposit Account Number:</b>	500549
<b>Name:</b>	IPLM GROUP, P.A.
<b>Attention:</b>	MICHAEL L. MAU
<b>Street Address 1:</b>	1300 GODWARD STREET N.E.
<b>Street Address 2:</b>	SUITE 6600
<b>City:</b>	MINNEAPOLIS
<b>State:</b>	MN
<b>Zip:</b>	55413-1741
<b>Country:</b>	UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/04	3204	10943802	259-P-001US01	2402	\$270.00	\$24,348.00
10/04	4079	12357873	160-P-1825USWO	1801	\$810.00	\$23,538.00
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10/06	5373	12811479	280P003USWO	1617	\$130.00	\$23,288.00
10/06	6616	US0930054	280P003USWO	8021	\$80.00	\$23,208.00
10/06	8721	11757916	160-P-1806USU1	1504	\$300.00	\$22,908.00
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10/12	817	12562286	117P2674US01	8007	\$20.00	\$19,214.00
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10/12	1083	12509862	117P2567US01	8007	\$20.00	\$19,174.00
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10/13	17101	61251465	221P266USP1	1807	\$50.00	\$17,942.00

10/18	6602	12905815	160-P-1806USD1	1111	\$540.00	\$17,402.00
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10/18	6604	12905815	160-P-1806USD1	1201	\$220.00	\$16,632.00
10/19	4989	PCT/US09/68807	160P1983WO01	1705	\$175.00	\$16,457.00
10/19	4988	PCT/US09/68807	160P1983WO01	1605	\$600.00	\$15,857.00
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10/20	7	10998485		1252	\$490.00	\$25,347.00
10/20	8	10998485	151-P-9399US03	1253	-\$1,110.00	\$26,457.00
START			SUM OF	SUM OF	END	
BALANCE			CHARGES	REPLENISH	BALANCE	
\$24,618.00			\$9,271.00	\$11,110.00	\$26,457.00	

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